

Annexure – B

## Investor Complaints Data- Stock Broker

Data for every month ending 31<sup>st</sup> October 2025.

S. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
2	Directly from Investors	0	0	0	0	0	0	0
3	SEBI (SCORES 2.0)	0	0	0	0	0	0	0
4	Stock Exchanges	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
6	Grand Total	0	0	0	0	0	0	0

### Trend of monthly disposal of complaints

S.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	April- 2025	0	0	0	0
2	May -2025	0	0	0	0
3	June -2025	0	0	0	0
4	July- 2025	0	0	0	0
5	August-2025	0	0	0	0
6	Sept -2025	0	0	0	0
7	Oct -2025	0	0	0	0
8	Nov -2025				
9	Dec -2025				
10	Jan- 2026				
11	Feb-2026				
12	March-2026				
13	Grand Total	0	0	0	0

**Trend of annual disposal of complaints -Broking**

S. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
4	2024-25	0	0	0	0
5	2025-26	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

Annexure – C

## Investor Complaints Data- Depository Participants

Data for every month ending 31<sup>st</sup> October 2025.

S. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
2	Directly from Investors	0	0	0	0	0	0	0
3	SEBI (SCORES)	0	0	0	0	0	0	0
4	Depositories	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
6	Grand Total	0	0	0	0	0	0	0

### Trend of monthly disposal of complaints

S. No.	Year	Carried forward from previous month	Received	Resolved	Pending
1	April- 2025	0	0	0	0
2	May -2025	0	0	0	0
3	June -2025	0	0	0	0
4	July- 2025	0	0	0	0
5	August-2025	0	0	0	0
6	Sept -2025	0	0	0	0
7	Oct -2025	0	0	0	0
8	Nov -2025				
9	Dec -2025				
10	Jan- 2026				
11	Feb-2026				
12	March-2026				
	Grand Total	0	0	0	0

**Trend of annual disposal of complaints -DP**

S. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2022-23	0	0	0	0
6	2023-24	0	0	0	0
7	2024-25	0	1	1	0
8	2025-26	0	0	0	0
	<b>Grand Total</b>	0	1	1	0